



# 12705  
9-11-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Serial No. ....09/610,160  
3 Filing Date ..... Jul 05, 2000  
4 Inventorship ..... Ward  
5 Applicant ..... Marketocracy  
6 Attorney's Docket No. .... MK1-003US  
7 Title: System and Method for Determining and Tracking Performance Metrics for  
8 Individual Investors

**INFORMATION DISCLOSURE STATEMENT**

*References -- See Attached Form PTO-1449*

**REMARKS**

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

Date: 8/6/03

By: Steven R. Sponseller  
Steven R. Sponseller  
Reg. No. 39,384

**RECEIVED**  
AUG 12 2003  
**GROUP 3600**